



Matt Plüss

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Qualifications & Memberships

- Graduate Diploma of Financial Planning, SIA (RG146)
- Graduate Diploma of Applied Finance and Investment, SIA
- Diploma of Financial Services, AFMA (RG146)
- Bachelor of Arts, Economics, Sydney University

Matt is a banking, treasury and risk management specialist with more than 20 years of experience, working in financial markets, financial risk management and compliance in the corporate and banking sectors.

In his previous role as a corporate treasurer, Matt implemented treasury risk management policies, hedging strategies, compliance and credit risk policies for both domestic and internationally listed companies. He has extensive experience in managing syndicated facility negotiations, corporate finance, working capital programs and the implementation of treasury management systems to monitor and manage risk.

Matt has also spent several years at ANZ in Global Markets Institutional Sales. He has extensive front office experience in foreign exchange, interest rates, commodities, structured investment products and risk management solutions.

Matt's more recent work includes leading a regulatory review for a domestic bank relating to the financial market division's front office operations, a financial advice regulatory review of several Australian financial services licensees and acting as an independent compliance expert for an Australian Fund Manager with regard to an ASIC Enforceable Undertaking.

Engagement Experience

- Led a regulatory review for a domestic bank relating to the financial market division's front office operations. The engagement, assisted by forensic technology and data analytics focused on identifying: behaviour that could compromise the integrity of a financial markets' benchmark, the disclosure of confidential information and behaviour inconsistent with the bank's internal policies and code(s) of conduct.
- Commonwealth Bank Open Advice Review (OAR) Program for Financial Planning where McGrathNicol were appointed Independent Forensic Expert.
- Independent Compliance Expert for an Australian Fund Manager with regard to an ASIC Enforceable Undertaking. The role involved a review of the firm's trading policies and procedures as well as investigating and ongoing reporting of the firm's trading activities.
- Appointed by ASIC to undertake a review of personal advice client files for some of Australia's largest financial services licensees and their compliance to their obligations under the Corporations Act.
- Acted as Project Manager for a forensic technology engagement for an Australian retail bank relating to the Royal Commission into Misconduct in the Banking, Superannuation and Financial Services Industry.